

## SEC Rule 606 Report - Euro Pacific Capital Inc - 2nd Qtr, 2017

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

### Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>81.48</b>	<b>6.48</b>	<b>12.05</b>	<b>3,536</b>	<b>2,881</b>	<b>229</b>	<b>426</b>	<b>0</b>	<b>81.48</b>	<b>6.48</b>	<b>12.05</b>
KCG Americas LLC	30.01	35.23	20.09	0.00	1,061	1,015	46	0	0	95.66	4.34	0.00
Citadel Securities	28.65	34.05	13.10	0.47	1,013	981	30	2	0	96.84	2.96	0.20
Euro Pacific Capital, Inc	12.92	15.55	0.00	2.11	457	448	0	9	0	98.03	0.00	1.97
Electronic Brokerage Syst	11.57	0.00	0.00	96.01	409	0	0	409	0	0.00	0.00	100.00
Bats Y Exchange	4.50	5.45	0.87	0.00	159	157	2	0	0	98.74	1.26	0.00
G1 Execution Services	2.77	3.33	0.87	0.00	98	96	2	0	0	97.96	2.04	0.00
New York Stock Exch	2.49	0.00	38.43	0.00	88	0	88	0	0	0.00	100.00	0.00
Instinet Corp	2.18	2.60	0.87	0.00	77	75	2	0	0	97.40	2.60	0.00
Two Sigma Securities, LLC	1.98	2.40	0.44	0.00	70	69	1	0	0	98.57	1.43	0.00
Bats Z Exchange	1.61	0.00	24.89	0.00	57	0	57	0	0	0.00	100.00	0.00

100.00% of total customer orders were non-directed orders.

### Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>87.37</b>	<b>4.99</b>	<b>7.64</b>	<b>2,304</b>	<b>2,013</b>	<b>115</b>	<b>176</b>	<b>0</b>	<b>87.37</b>	<b>4.99</b>	<b>7.64</b>
Knight Capital Americas L	33.64	37.26	21.74	0.00	775	750	25	0	0	96.77	3.23	0.00
Citadel Securities	29.77	32.79	19.13	2.27	686	660	22	4	0	96.21	3.21	0.58
Euro Pacific Capital, Inc	19.01	20.72	0.00	11.93	438	417	0	21	0	95.21	0.00	4.79
Electronic Brokerage Syst	4.77	0.00	0.00	62.50	110	0	0	110	0	0.00	0.00	100.00
G1 Execution Services	4.08	4.52	2.61	0.00	94	91	3	0	0	96.81	3.19	0.00
Two Sigma Securities, LLC	2.08	2.29	1.74	0.00	48	46	2	0	0	95.83	4.17	0.00
Nasdaq Execution Services	1.69	0.00	0.00	22.16	39	0	0	39	0	0.00	0.00	100.00
Instinet Corp	1.17	1.29	0.87	0.00	27	26	1	0	0	96.30	3.70	0.00
DirectEdge ECN (EDGX)	1.09	0.00	21.74	0.00	25	0	25	0	0	0.00	100.00	0.00
National Financial Servic	1.00	1.14	0.00	0.00	23	23	0	0	0	100.00	0.00	0.00

100.00% of total customer orders were non-directed orders.

### Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>72.21</b>	<b>12.69</b>	<b>15.09</b>	<b>709</b>	<b>512</b>	<b>90</b>	<b>107</b>	<b>0</b>	<b>72.21</b>	<b>12.69</b>	<b>15.09</b>
Citadel Securities	27.22	34.38	14.44	3.74	193	176	13	4	0	91.19	6.74	2.07
Knight Capital Americas L	26.94	33.40	22.22	0.00	191	171	20	0	0	89.53	10.47	0.00
Euro Pacific Capital, Inc	13.68	17.58	0.00	6.54	97	90	0	7	0	92.78	0.00	7.22
Electronic Brokerage Syst	11.57	0.00	0.00	76.64	82	0	0	82	0	0.00	0.00	100.00
G1 Execution Services	5.50	6.84	4.44	0.00	39	35	4	0	0	89.74	10.26	0.00
Bats Z Exchange	3.10	0.00	24.44	0.00	22	0	22	0	0	0.00	100.00	0.00
NYSE MKT	2.40	0.00	18.89	0.00	17	0	17	0	0	0.00	100.00	0.00
Bats Y Exchange	2.40	2.93	2.22	0.00	17	15	2	0	0	88.24	11.76	0.00
Two Sigma Securities, LLC	2.12	2.73	1.11	0.00	15	14	1	0	0	93.33	6.67	0.00
Nasdaq Execution Services	1.97	0.00	0.00	13.08	14	0	0	14	0	0.00	0.00	100.00

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**Exchange-Listed Options**

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
<b>Total</b>	<b>100.00</b>	<b>1.63</b>	<b>98.37</b>	<b>0.00</b>	<b>368</b>	<b>6</b>	<b>362</b>	<b>0</b>	<b>0</b>	<b>1.63</b>	<b>98.37</b>	<b>0.00</b>
Nasdaq Option Market	23.64	0.00	24.03	0.00	87	0	87	0	0	0.00	100.00	0.00
Philadelphia Exch	23.10	50.00	22.65	0.00	85	3	82	0	0	3.53	96.47	0.00
Internat'l Secs Exch	16.30	0.00	16.57	0.00	60	0	60	0	0	0.00	100.00	0.00
Chicago Board OptionsExch	14.95	33.33	14.64	0.00	55	2	53	0	0	3.64	96.36	0.00
MIAX Options Exchange	8.42	16.67	8.29	0.00	31	1	30	0	0	3.23	96.77	0.00
Archipelago LLC	7.34	0.00	7.46	0.00	27	0	27	0	0	0.00	100.00	0.00
BATS Stock Exchange	2.72	0.00	2.76	0.00	10	0	10	0	0	0.00	100.00	0.00
Boston Options Exchange	2.45	0.00	2.49	0.00	9	0	9	0	0	0.00	100.00	0.00
NYSE MKT	0.54	0.00	0.55	0.00	2	0	2	0	0	0.00	100.00	0.00
C2 Options Exchange	0.54	0.00	0.55	0.00	2	0	2	0	0	0.00	100.00	0.00

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)

[How to read this report](#)

\*Unknown is part of Other.

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