

SEC Rule 606 Report - Euro Pacific Capital Inc - 1st Qtr, 2018

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	71.54	5.82	22.64	3,710	2,654	216	840	0	71.54	5.82	22.64
Electronic Brokerage Syst	21.97	0.00	0.00	97.02	815	0	0	815	0	0.00	0.00	100.00
Euro Pacific Capital, Inc	21.56	30.03	0.00	0.36	800	797	0	3	0	99.63	0.00	0.38
Citadel Securities	21.00	28.03	9.72	1.67	779	744	21	14	0	95.51	2.70	1.80
VIRTU Americas LLC	20.73	27.73	14.81	0.12	769	736	32	1	0	95.71	4.16	0.13
New York Stock Exchange	2.91	0.00	50.00	0.00	108	0	108	0	0	0.00	100.00	0.00
National Financial Serv	2.70	3.77	0.00	0.00	100	100	0	0	0	100.00	0.00	0.00
Two Sigma Securities, LLC	2.18	2.94	0.93	0.12	81	78	2	1	0	96.30	2.47	1.23
G1 Execution Services	2.13	2.86	1.39	0.00	79	76	3	0	0	96.20	3.80	0.00
Bats Y Exchange	1.86	2.56	0.46	0.00	69	68	1	0	0	98.55	1.45	0.00
Instinet Corp	1.51	2.07	0.46	0.00	56	55	1	0	0	98.21	1.79	0.00

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	80.07	6.68	13.25	2,936	2,351	196	389	0	80.07	6.68	13.25
Euro Pacific Capital, Inc	37.13	40.37	24.49	23.91	1,090	949	48	93	0	87.06	4.40	8.53
Citadel Securities	21.05	24.50	17.35	2.06	618	576	34	8	0	93.20	5.50	1.29
VIRTU Americas LLC	21.01	24.88	16.33	0.00	617	585	32	0	0	94.81	5.19	0.00
Nasdaq Execution Services	4.60	0.00	0.00	34.70	135	0	0	135	0	0.00	0.00	100.00
Electronic Brokerage Syst	3.51	0.00	0.00	26.48	103	0	0	103	0	0.00	0.00	100.00
National Financial Serv	3.34	4.08	1.02	0.00	98	96	2	0	0	97.96	2.04	0.00
Two Sigma Securities, LLC	2.15	2.55	1.53	0.00	63	60	3	0	0	95.24	4.76	0.00
G1 Execution Services	1.74	2.13	0.51	0.00	51	50	1	0	0	98.04	1.96	0.00
RBCZ	1.70	0.00	0.00	12.85	50	0	0	50	0	0.00	0.00	100.00
Nasdaq Option Market	1.40	0.00	20.92	0.00	41	0	41	0	0	0.00	100.00	0.00

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	78.39	6.78	14.82	796	624	54	118	0	78.39	6.78	14.82
Citadel Securities	30.78	37.02	12.96	5.93	245	231	7	7	0	94.29	2.86	2.86
VIRTU Americas LLC	27.76	34.46	11.11	0.00	221	215	6	0	0	97.29	2.71	0.00
Euro Pacific Capital, Inc	15.95	16.03	29.63	9.32	127	100	16	11	0	78.74	12.60	8.66
Electronic Brokerage Syst	11.81	0.16	7.41	75.42	94	1	4	89	0	1.06	4.26	94.68
Bats Y Exchange	2.76	3.21	3.70	0.00	22	20	2	0	0	90.91	9.09	0.00
Two Sigma Securities, LLC	2.64	3.04	3.70	0.00	21	19	2	0	0	90.48	9.52	0.00
Instinet Corp	1.88	2.24	1.85	0.00	15	14	1	0	0	93.33	6.67	0.00
National Financial Serv	1.76	1.92	3.70	0.00	14	12	2	0	0	85.71	14.29	0.00
G1 Execution Services	1.63	1.92	1.85	0.00	13	12	1	0	0	92.31	7.69	0.00
Nasdaq Execution Services	1.38	0.00	1.85	8.47	11	0	1	10	0	0.00	9.09	90.91

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	1.63	98.37	0.00	552	9	543	0	0	1.63	98.37	0.00
Philadelphia Exch	28.26	66.67	27.62	0.00	156	6	150	0	0	3.85	96.15	0.00
ISE Gemini	15.58	0.00	15.84	0.00	86	0	86	0	0	0.00	100.00	0.00
Chicago Board Options Exchange	13.77	22.22	13.63	0.00	76	2	74	0	0	2.63	97.37	0.00
NYSE Arca	11.41	0.00	11.60	0.00	63	0	63	0	0	0.00	100.00	0.00
Nasdaq Option Market	9.78	0.00	9.94	0.00	54	0	54	0	0	0.00	100.00	0.00
BATS Stock Exchange	8.51	0.00	8.66	0.00	47	0	47	0	0	0.00	100.00	0.00
Boston Options Exchange	5.25	11.11	5.16	0.00	29	1	28	0	0	3.45	96.55	0.00
MIAX Options Exchange	5.25	0.00	5.34	0.00	29	0	29	0	0	0.00	100.00	0.00
DirectEdge ECN (EDGX)	1.45	0.00	1.47	0.00	8	0	8	0	0	0.00	100.00	0.00
MIAX PEARL Exchange	0.72	0.00	0.74	0.00	4	0	4	0	0	0.00	100.00	0.00

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)
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*Unknown is part of Other.

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